

Licence No: GB23201336

Code: SEC-2.4



Financial Services Commission, Mauritius

INVESTMENT ADVISER (UNRESTRICTED) LICENCE

This is to certify that,

General Invest Wealth Management

has been granted an Investment Adviser (Unrestricted) Licence pursuant to Section 30 of the Securities Act 2005, Rule 5 of the Securities (Licensing) Rules 2007 and the Financial Services (Consolidated Licensing and Fees) Rules 2008.

This Licence is subject to the terms and conditions set out on the reverse hereof.

This 12th day of April 2023

FSC House
54, Cybercity
Ebene
Republic of Mauritius

K. Burun

*Issued on behalf of the Financial Services Commission, Mauritius
under the authority of the Chief Executive*

CONDITIONS:

1. General Invest Wealth Management (the "Company") shall only conduct such business or activity permissible under this licence, unless otherwise approved by the Commission. Where such approval is given by the Commission, the Company shall not undertake such business or activity until the licence, authorisation, permission or consent (however described) has also been obtained where required.
2. The Company shall keep at its registered address all executed agreements pertaining to the management, custody, advisory and other professional services related to portfolios of securities and funds to which it provides its services.
3. The Company shall at all times ensure that its officers and employees have an appropriate level of training and knowledge of the products they promote to enable them to explain the risks involved to clients.
4. The Company shall take reasonable steps to ensure that:
 - a) its customers understands the nature of the risks involved in the different types of investment proposed;
 - b) it discloses any position knowingly held by itself or any of its related and associated companies within its group regarding proposed financial products/services;
 - c) it discloses the identity and business address of the person for which it is acting as marketing and distribution agent;
 - d) it discloses whether the person on whose behalf it is acting, is regulated or authorized to market or distribute the proposed financial products in its respective jurisdiction.
5. The Company shall ensure that any marketing campaign and/or advertisement issued by it is clear and not misleading and the Commission reserves the right to have any misleading advertisement removed and/or amended as appropriate at the Company's expense.

